Regulation on Supporting Ammunition Production (ASAP)

INFO SESSION – October 2023

Directorate-General for Defence Industry and Space
To start with: useful links to ASAP material

ASAP Regulation

ASAP Work programme

ASAP Calls for proposals (deadline for submission 13/12/2023 17.00 CET) (including access to call documents, submission forms, model grant agreement, guidance and FAQs)
- Call on Explosives production capacity
- Call on Powder production capacity
- Call on Shells production capacity
- Call on Missiles production capacity
- Call on Testing & Reconditioning certification

ASAP Information webpage (including access to this presentation and recording)

Any remaining question? → DEFIS-ASAP-PROPOSALS@ec.europa.eu
1 – Introduction to ASAP principles and calls for proposals

A. ASAP: what for? General introduction
B. Overview of ASAP calls for proposals
A – ASAP: what for?
General introduction
Unprecedented swift and united EU reaction

20 March 2023
• Council agreement on 3-track approach to speed up the delivery and joint procurement of artillery ammunition

3 May 2023
• Commission proposal for the Act on supporting ammunition production (ASAP)

20 July 2023
• Adoption by the colegislators of ASAP Regulation

18 October 2023
• Adoption of ASAP financing decision and work programme
• Publication of ASAP calls for proposals
ASAP contribution to the 3-track approach

Jointly procure 155mm ammunition

Urgently deliver to UA ground-to-ground and artillery ammunition

- 1 million artillery rounds delivered to UA from March 2023 to March 2024
- EU annual production capacity of 1 million 155mm rounds in 2024, and in following years

Urgently support ramp-up of EU manufacturing capacities (ASAP)

Twin objective pursued
ASAP in short

• Objectives of the Instrument
  ➢ Foster the **efficiency and competitiveness** of the European Defence Technological and Industrial Base (EDTIB) by initiating and speeding up the adjustment of industry to the rapid structural changes
  ➢ **Ramp-up** or creation of **manufacturing capacities** and **timely delivery**
  ➢ strengthen **supply chain resilience and robustness**

• Budget
  ➢ **500 M€** over 2023-25

• Funding principles
  ➢ Lump sum **grants**
  ➢ **Specific eligibility conditions** (e.g. ownership control) compared to other EU programmes
  ➢ **EU funding rate up to 45%** (35% + possible 10% bonus)
Next steps

18/10
Publication and opening of ASAP calls for proposals

Submission (8 weeks)

13/12
17.00 CET
Deadline for submission of proposals

Q1 2024
Evaluation results

Q2 2024
Signature of the grants

Grant agreement preparation
B – Overview of ASAP calls for proposals
<table>
<thead>
<tr>
<th>Calls</th>
<th>Topics</th>
<th>Scope</th>
</tr>
</thead>
<tbody>
<tr>
<td>Explosives</td>
<td>ASAP-2023-LS-XPL-STEP</td>
<td>Production capacity in the area of explosives, including explosives for artillery ammunition, as well as mortars and rockets</td>
</tr>
<tr>
<td></td>
<td>ASAP-2023-LS-XPL-FAST</td>
<td></td>
</tr>
<tr>
<td>Powder</td>
<td>ASAP-2023-LS-POW-STEP</td>
<td>Production capacities in the area of powders, e.g. propelling charges and propelling powder based on nitrocellulose, nitro-glycerine or nitroguanidine, supporting 155mm artillery ammunition, as well as mortars</td>
</tr>
<tr>
<td></td>
<td>ASAP-2023-LS-POW-FAST</td>
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</tr>
<tr>
<td>Shells</td>
<td>ASAP-2023-LS-SHL-IPC</td>
<td>Forging shell bodies, shell filling or assembling of shell-integrated components</td>
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<td>ASAP-2023-LS-SHL</td>
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</tr>
<tr>
<td>Missiles</td>
<td>ASAP-2023-LS-MIS-IPC</td>
<td>Production capacities in the area of missiles, in particular assembly of final product and production of propulsion systems</td>
</tr>
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<td></td>
<td>ASAP-2023-LS-MIS</td>
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<tr>
<td>Testing and reconditioning certification</td>
<td>ASAP-2023-LS-TRC-AM</td>
<td>Artillery ammunition</td>
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<td></td>
<td>ASAP-2023-LS-TRC</td>
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</table>

Further clarification about activities falling in Scope can be found in [FAQs on the F&T Portal](#)
Regulation on Supporting Ammunition Production (ASAP)

Call on Explosives: ASAP-2023-LS-XPL – 190 M€

- Increased production capacity with strong focus on elimination of bottlenecks and cross-border cooperation
- Step change in the capacity
- Increased supply chains robustness
- Expected duration: between 12 and 36 months*
- Maximum EU contribution per project: 65 M€

ASAP-2023-LS-XPL-STEP
130 M€

ASAP-2023-LS-XPL-FAST
60 M€

- Increased production capacity with strong focus on reduction of lead production time
- Rapid adjustments of production capacity
- Increased supply chains responsiveness
- Expected duration: less than 24 months*
- Maximum EU contribution per project: 20 M€

2 TOPICS

Types of eligible activities (common to the 2 topics)

| (a) | Optimisation, expansion, modernisation, upgrading or repurposing of existing, or establishment of new, production capacities | Mandatory |
| (b) | Establishment of cross-border industrial partnerships | Optional |
| (c) | Reserved surge manufacturing capacities | Optional |
| (d) | Testing and reconditioning certification | Optional |
| (e) | Training, reskilling or upskilling of personnel in relation to the activities referred to in points (a) to (d); | Optional |
| (f) | Improvement of the access to finance for investments related to activities referred to in points (a) to (e) | Optional |

Award criteria and weighting

<table>
<thead>
<tr>
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<tbody>
<tr>
<td>(a) Increase in production capacity in the Union/AC</td>
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<td>(e) Support to procurement</td>
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<td>(f) Implementation</td>
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<tr>
<td>Overall maximum weighted scores</td>
<td>70</td>
<td>60</td>
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<tr>
<td>Overall thresholds (“pass scores”)</td>
<td>46.5</td>
<td>40</td>
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* Other durations may be accepted in duly justified cases
Regulation on Supporting Ammunition Production (ASAP)

Call on **Powder**: ASAP-2023-LS-POW – 144 M€

- Increased production capacity with strong focus on elimination of bottlenecks and cross-border cooperation
- Step change in the capacity
- Increased supply chains robustness
- Expected duration: between 12 and 36 months*
- Maximum EU contribution per project: 47 M€

ASAP-2023-LS-POW-STEP
94 M€

ASAP-2023-LS-POW-FAST
50 M€

- Increased production capacity with strong focus on reduction of lead production time
- Rapid adjustments of production capacity
- Increased supply chains responsiveness
- Expected duration: less than 24 months*
- Maximum EU contribution per project: 10 M€

2 TOPICS

### Types of eligible activities (common to the 2 topics)

| (a) | Optimisation, expansion, modernisation, upgrading or repurposing of existing, or establishment of new, production capacities | Mandatory |
| (b) | Establishment of cross-border industrial partnerships | Optional |
| (c) | Reserved surge manufacturing capacities | Optional |
| (d) | Testing and reconditioning certification | Optional |
| (e) | Training, reskilling or upskilling of personnel in relation to the activities referred to in points (a) to (d); | Optional |
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### Award criteria and weighting

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* Other durations may be accepted in duly justified cases
Call on **Shells**: ASAP-2023-LS-SHL – 90 M€

- Increased production capacities and supporting timely delivery of 155mm artillery ammunition
- Forging shell bodies, shell filling or assembling of shell-integrated components
- **Expected duration**: less than 24 months*
- **Maximum EU contribution per project**: 22.5 M€

**Types of eligible activities**

| (a) | Optimisation, expansion, modernisation, upgrading or repurposing of existing, or establishment of new, production capacities | Mandatory |
| (b) | Establishment of cross-border industrial partnerships | Optional |
| (c) | Reserved surge manufacturing capacities | Optional |
| (d) | Testing and reconditioning certification | Optional |
| (e) | Training, reskilling or upskilling of personnel in relation to the activities referred to in points (a) to (d); | Optional |
| (f) | Improvement of the access to finance for investments related to activities referred to in points (a) to (e) | Optional |

**Award criteria and weighting**

| (a) | Increase in production capacity in the Union/AC | 3 |
| (b) | Reduction of lead production time | 3 |
| (c) | Elimination of sourcing and production bottlenecks | 1 |
| (d) | Resilience through cross-border cooperation | 1 |
| (e) | Support to procurement | 2 |
| (f) | Implementation | 2 |

**Overall maximum weighted score** | 60 |
**Overall threshold (“pass score”)** | 40 |

* Other durations may be accepted in duly justified cases
Call on Missiles: ASAP-2023-LS-MIS – 40 M€

- Increased production capacities and supporting timely delivery
- Assembly of final product and production of propulsion systems
- Expected duration: less than 24 months*
- Maximum EU contribution per project: 10 M€

Types of eligible activities

| (a) | Optimization, expansion, modernization, upgrading or repurposing of existing, or establishment of new, production capacities | Mandatory |
| (b) | Establishment of cross-border industrial partnerships | Optional |
| (c) | Reserved surge manufacturing capacities | Optional |
| (d) | Testing and reconditioning certification | Optional |
| (e) | Training, reskilling or upskilling of personnel in relation to the activities referred to in points (a) to (d); | Optional |
| (f) | Improvement of the access to finance for investments related to activities referred to in points (a) to (e) | Optional |

Award criteria and weighting

| (a) | Increase in production capacity in the Union/AC | 2 |
| (b) | Reduction of lead production time | 3 |
| (c) | Elimination of sourcing and production bottlenecks | 1 |
| (d) | Resilience through cross-border cooperation | 1 |
| (e) | Support to procurement | 2 |
| (f) | Implementation | 2 |

Overall maximum weighted score: 55
Overall threshold ("pass score"): 36.5

* Other durations may be accepted in duly justified cases
Regulation on Supporting Ammunition Production (ASAP)

Call on **Testing & reconditioning certification:**
**ASAP-2023-LS-TRC – 4.35 M€**

- Addressing primarily the obsolescence, testing or reconditioning certification
- Supporting the timely delivery of artillery ammunition
- **Expected duration:** less than 24 months*
- Maximum EU contribution per project: **2 M€**

### Types of eligible activities

| (a) | Optimisation, expansion, modernisation, upgrading or repurposing of existing, or establishment of new, production capacities | Optional |
| (b) | Establishment of cross-border industrial partnerships | Optional |
| (c) | Reserved surge manufacturing capacities | Optional |
| **(d)** | **Testing and reconditioning certification** | Mandatory |
| (e) | Training, reskilling or upskilling of personnel in relation to the activities referred to in points (a) to (d); | Optional |
| (f) | Improvement of the access to finance for investments related to activities referred to in points (a) to (e) | Optional |

### Award criteria and weighting

| (a) | Increase in production capacity in the Union/AC | n.a. |
| (b) | Reduction of lead production time | 3 |
| (c) | Elimination of sourcing and production bottlenecks | n.a. |
| (d) | Resilience through cross-border cooperation | n.a. |
| (e) | Support to procurement | 1 |
| (f) | Implementation | 2 |

**Overall maximum weighted score** | **30**
**Overall threshold (“pass score”)** | **20**

* Other durations may be accepted in duly justified cases
2 – Evaluation of proposals

A. Overview of process and admissibility conditions

B. Eligibility conditions
   - Eligible participants
   - Eligible actions and activities

C. Award criteria
A – Overview of process & admissibility conditions
Evaluation of proposals based on the assessment of 5 conditions and criteria by the Evaluation Committee (Commission) + in-house experts

- **Award**: Scoring and ranking of proposals on the basis of criteria
- **Admissibility**: Compliance with formal requirements related to the submission and presentation of proposal
- **Eligibility**: Assessment of the eligibility of the action and the entities, together with call-specific criteria (when applicable)
- **Selection**: Assessment of the applicants’ operational and financial capacity to implement the action
- **Exclusion**: Determine if applicants and affiliated entities falls under exclusion grounds (Art. 136 FR)
Admissibility Conditions
Formal requirements related to the submission of proposals

Submitted by the call deadline
13 December 2023
17.00 CET

Submitted electronically via the Funding & Tenders Portal Electronic Submission System

Proposal in one of the official languages of the Union (English language is encouraged)

Proposals (w/annexes and supporting documents) must be complete and submitted using the forms

Submission forms and supporting documents need to be provided as searchable pdf files, unless otherwise specified

Classified information (R-UE/EU-R) (Part B and if needed, annexes) must be encrypted with appropriate tools or submitted by post
B - Eligibility conditions
Entities participating in the action

Beneficiaries
Members of the consortium/signatories of GA

Affiliated entities
Third parties with a link to beneficiaries that can implement part of the action in their own name

Other entities
Sub-contractor
Associated partners
Eligible entities requirements

**Establishment and executive management** in the EU/ASAP associated country.

**No control** by non-associated third country/entity or been subject to FDI screening.

**Derogation** in case of control from outside the EU/ASAP associated country and if no FDI screening:

- **Guarantees approved** by MS/ASAP associated country which substantiate
  - No restrictions concerning infrastructure, facilities, assets & resources needed for action
  - Protected access to sensitive or classified information

- **Infrastructure, facilities, assets & resources** used in the action in EU/ASAP associated country

- **Absence of restriction to use the products**
  - ‘Every effort’ obligation to allow for the delivery of outputs to UA
Ownership and control

➢ Definition of control

“The possibility to exercise decisive influence directly or indirectly through one or more intermediate entities, ‘de jure’ or ‘de facto’ on strategic business decisions”

➢ An Ownership and Control Assessment (OCA) will be done for beneficiaries and affiliated entities, except where they are:

▪ Entities validated as public bodies, considered to be controlled by their country.
▪ Entities which self-declare to be controlled by ineligible country/entity/national.
## Declaration of ownership and control (DoC)

The DoC should include the below information for your organisation and its direct and indirect controlling shareholders.

### Elements for assessment

<table>
<thead>
<tr>
<th>Ownership structure</th>
<th>Corporate governance</th>
<th>Commercial or financial links</th>
</tr>
</thead>
<tbody>
<tr>
<td>Identification of all shareholders with percentage of shares/voting rights</td>
<td>Rights attached to the shares detained; specific veto rights...</td>
<td>Economic or financial dependence on third-country direct or indirect shareholder</td>
</tr>
<tr>
<td>Information on their country of establishment (if legal entities) or nationality (if natural persons)</td>
<td>Decision-making bodies, their composition; the decision-making procedures and quorums required</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Rules regarding election, appointment, nomination of members of the decision-making bodies</td>
<td></td>
</tr>
</tbody>
</table>

### Information in DoC

- Graph visualising the complete ownership structure up to ultimate owners
- Documentary evidence demonstrating all direct and indirect shareholders e.g. commercial registry extracts; shareholders/members/partners registers shareholders agreements etc. with the exact allotment of shares/voting rights
- Documents evidencing nationality of ultimate owners
- Articles of association, Statutes, shareholders agreement, MoU among shareholders;
- Rules of decision-making procedures;
- Report/minutes of shareholders meetings, together with the attendance list identifying the present/represented shareholders and their respective votes
- Information on contractual relationships (cooperation agreements /contracts/ arrangements) which can give control
- Information on financial relationships (financing agreements/loans/financial guarantees etc.) which can give control

### Supporting documents/information to be provided upon request from the Central Validation Service

The DoC should include the below information for your organisation and its direct and indirect controlling shareholders.

**Guidance for Participation** in Digital Europe Programme (DEP), Horizon Europe (HE) and European Defence Fund (EDF) restricted calls.
Eligible actions and activities

(a) Optimisation, expansion, modernisation, upgrading or repurposing of existing, or the establishment of new, production capacities

(b) Establishment of cross-borders industrial partnerships, including through public-private partnerships or other forms of industrial cooperation

(c) Building-up and making available of reserved surge manufacturing capacities, raw materials and components

(d) Testing (incl. necessary infrastructures), reconditioning certification, to address obsolescence and make products useable by end users

(e) Training, reskilling or upskilling of personnel in relation to the activities (a) to (d)

(f) Offset of any additional cost arising specifically from the defence industry sector, for investments related to activities (a) to (e).
Call-specific eligibility conditions

Mandatory activities defined under each call document are eligibility conditions, i.e.

<table>
<thead>
<tr>
<th>Call EDF-ASAP-2023-LS-XPL</th>
<th>(a)</th>
<th>(b) to (f)</th>
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<tbody>
<tr>
<td>Call EDF-ASAP-2023-LS-POW</td>
<td>(a)</td>
<td>(b) to (f)</td>
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<tr>
<td>Call EDF-ASAP-2023-LS-SHL</td>
<td>(a)</td>
<td>(b) to (f)</td>
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<tr>
<td>Call EDF-ASAP-2023-LS-MIS</td>
<td>(a)</td>
<td>(b) to (f)</td>
</tr>
<tr>
<td>Call EDF-ASAP-2023-LS-TRC</td>
<td>(d)</td>
<td>(a) to (c) and (e) to (f)</td>
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</tbody>
</table>
Actions and activities not eligible for funding are listed in Call documents, and include, notably (but not only):

- actions related to the production of goods or delivery of services which are prohibited by applicable international law;

- lethal autonomous weapons without the possibility for meaningful human control over selection and engagement decisions when carrying out strikes against humans.
C – Award criteria
**Regulation on Supporting Ammunition Production (ASAP)**

# Award Criteria

<table>
<thead>
<tr>
<th>Award criteria as defined in Article 15 of Regulation (EU) 2023/1525</th>
<th>Weighting</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>1. Increase in production capacity in the Union</strong></td>
<td>3 3 3 3 3 2 n.a.</td>
</tr>
<tr>
<td><strong>2. Reduction of lead production time</strong></td>
<td>2 3 2 3 3 3 3</td>
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<tr>
<td><strong>3. Elimination of sourcing and production bottlenecks</strong></td>
<td>3 2 3 2 1 1 n.a.</td>
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<tr>
<td><strong>4. Resilience through cross-border cooperation</strong></td>
<td>3 1 3 1 1 1 n.a.</td>
</tr>
<tr>
<td><strong>5. Support to procurement</strong></td>
<td>1 1 1 1 2 2 1</td>
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<tr>
<td><strong>6. Quality of the Implementation plan of the action</strong></td>
<td>2 2 2 2 2 2 2</td>
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</table>

**Maximum score**
- ASAP-2023-LS-XPL-STEP: 70
- ASAP-2023-LS-XPL-FAST: 60
- ASAP-2023-LS-POW-STEP: 70
- ASAP-2023-LS-POW-FAST: 60
- ASAP-2023-LS-SHL-IPC: 60
- ASAP-2023-LS-MIS-IPC: 55
- ASAP-2023-LS-TRC: 30

**Overall threshold**
- ASAP-2023-LS-XPL-STEP: 46.5
- ASAP-2023-LS-XPL-FAST: 40
- ASAP-2023-LS-POW-STEP: 46.5
- ASAP-2023-LS-POW-FAST: 40
- ASAP-2023-LS-SHL-IPC: 40
- ASAP-2023-LS-MIS-IPC: 36.5
- ASAP-2023-LS-TRC: 20

**1. Increase in production capacity**

**2. Reduction of lead production time**

**3. Elimination of bottlenecks**

**4. Resilience through cross-border cooperation**

**5. Support to procurement**

**6. Quality of the implementation of the action**
Award Criteria

1. Increase in production capacity

Contribution of the action to the increase, ramp-up or reservation of manufacturing capacities, their modernisation or the reskilling and upskilling of the related workforce in the EU and ASAP associated countries

(n/a for Call Testing and Reconditioning Certification)

• Contribution of the action to the production of ground-to-ground and artillery ammunition and/or missiles
• Increase in the production or reserve manufacturing capacity
• Time to completion
Award Criteria

2. Reduction of lead production time

Contribution of the action to the *timely satisfaction of the demand* expressed through procurement in terms of *reduced lead production times*, including via order reprioritization mechanisms

- Issues concerning the reduction of current production lead time
- Solution to overcome the bottlenecks
Award Criteria

3. Elimination of bottlenecks

Contribution of the action to the *swift identification* and *rapid and lasting elimination* of any sourcing (raw material and any other input) or production (manufacturing capability) *bottlenecks*

*(n/a for Call Testing and Reconditioning Certification)*

- Sourcing and/or production-related bottlenecks
- Long lasting effects
Award Criteria

4. Resilience through cross-border cooperation

Contribution of the action to the development and operationalisation of cross-border cooperation between undertakings established in different Member States or associated countries, involving in particular, to a significant extent, SMEs or mid-caps as recipients, as subcontractors or as other undertakings in the supply chain.

(n/a for Call Testing and Reconditioning Certification)

- Development of cross-border cooperation
- Involvement of SMEs and Mid-Caps
- Resilience through long term cross-border cooperation
Demonstration of the link between the action and recently placed orders stemming from the joint procurement of relevant defence products by at least three Member States or associated countries especially if done in a Union framework

(n/a for Call Testing and Reconditioning Certification)
Award Criteria

6. Quality of the implementation of the action

The quality of the implementation plan of the action, including in terms of its processes and monitoring

- Work plan and work packages
- Consortium set-up (if applicable), roles and management
- Project and quality management
## Score and Threshold

**Predefined scale:** each criterion scored from 0 to 5 (half points allowed)

<table>
<thead>
<tr>
<th>Score</th>
<th>Description</th>
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<tbody>
<tr>
<td>0</td>
<td>The proposal fails to address the criterion or cannot be assessed due to missing or incomplete information.</td>
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<tr>
<td>1</td>
<td>Poor. The criterion is inadequately addressed, or there are serious inherent weaknesses.</td>
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<tr>
<td>2</td>
<td>Fair. The proposal broadly addresses the criterion, but there are significant weaknesses.</td>
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<td>3</td>
<td>Good. The proposal addresses the criterion well, but a number of shortcomings are present.</td>
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<tr>
<td>4</td>
<td>Very Good. The proposal addresses the criterion very well, but a small number of shortcomings are present.</td>
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<tr>
<td>5</td>
<td>Excellent. The proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.</td>
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## Score and Overall threshold

**Award criteria as defined in Article 11 of Regulation (EU) 2023/1525**

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| Maximum score                                      | 70                     | 60                     | 70                     | 60                     | 60                     | 55               | 30              |
| Overall threshold                                   | 46.5                   | 40                     | 46.5                   | 40                     | 40                     | 36.5             | 20              |
3 – Preparation of proposal budget and financial aspects

A. Lump Sum
B. Proposal Budget: Cost categories + Cost eligibility
C. Proposal Budget: EU Funding Rate + Bonus
D. Co-financing + Retroactivity
A – Lump sums

Main financial aspects
Type of grant in ASAP: lump sums

• **ASAP model grant agreement**: lump sums

• Significant simplification from standard MGA on financial management of the grant:
  - Funding based on estimated budget for the action by applicants
  - No obligation to report actual costs incurred during implementation
  - Reduced administrative burden allows to move focus from financial management to technical implementation

• Particularly adequate for SMEs and newcomers to EU programmes
Lump sums: main financial aspects along project life-cycle

<table>
<thead>
<tr>
<th>Proposal submission</th>
<th>Proposal evaluation &amp; Grant Agreement preparation</th>
<th>Project implementation</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Detailed budget table (template in Portal)</td>
<td>• Assessment of budget proposal</td>
<td>• Payments upon <strong>completion of work only</strong> (no checks on costs/prices)</td>
</tr>
</tbody>
</table>
| • **Detailed** cost estimations:  
  o for **all cost categories**  
  o per **beneficiary**  
  o per **work package** | • Potential request of **clarifications and supporting documents** (salary slips, quotations, etc.) | • Only **technical checks** and reviews (ex-ante or ex-post) are possible |
| • Cost estimations must be **approximation** of your actual costs | • Possible subsequent **budget adjustments** | • Only need to provide **proof of completion of work** (deliverables, technical documents, etc.) |
Payment conditions

PAYMENT SCHEME

• Pre-financing (- interim payment(s)) – final payment

• Payments are made at the end of reporting periods and upon approval of a periodic report

PRE-FINANCING CONDITIONS

• By default: single pre-financing of up to 35% of maximum grant

• If one or more beneficiaries with a financial capacity assessed as weak/insufficient protective measures might apply → typically reduced pre-financing or no pre-financing

  o If coordinator weak, 2 options:
    o Coordinator is replaced by other member of consortium with good/acceptable financial capacity
    o Coordinator provides pre-financing guarantee
### Interim payment: example

<table>
<thead>
<tr>
<th>WP1</th>
<th>WP2</th>
<th>WP3</th>
<th>WP4</th>
<th>Total</th>
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<tr>
<td>Coo</td>
<td>250</td>
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<td>50</td>
<td>300</td>
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<td>Ben 2</td>
<td>150</td>
<td>50</td>
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<td>250</td>
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<td>Ben 3</td>
<td>100</td>
<td>100</td>
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<tr>
<td>Total</td>
<td>350</td>
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<tr>
<th>WP1</th>
<th>WP2</th>
<th>WP3</th>
<th>WP4</th>
</tr>
</thead>
<tbody>
<tr>
<td>Coo</td>
<td>Completed</td>
<td></td>
<td>Initiated</td>
</tr>
<tr>
<td>Ben 2</td>
<td>Completed</td>
<td>Completed</td>
<td>Not initiated</td>
</tr>
<tr>
<td>Ben 3</td>
<td>Completed</td>
<td>Initiated</td>
<td>Completed</td>
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</table>

Payment: $350 + 0 + 50 + 0 = 400\ €$

**Annex 2 GA**

**Periodic report**

Partial completion: WP will not be paid except at the end of the project → the lump sum is paid partially in line with the degree of completion.
A work package (WP) is a major sub-division of the work plan of your project (not a single task, not a lapse of time, etc.)

Propose as many Work Packages as needed → but no more than what is manageable

Consider typical reporting periods proposed by the Commission (i.e. 12 -18 months)

Consider possible delays in the completion of Work Packages

Long work packages (e.g. management) may be split along the reporting periods
B – Proposal budget

Cost categories and eligibility conditions
Cost eligibility conditions

GENERAL ELIGIBILITY CONDITIONS

In the duration of the action

Estimated in the budget

Necessary for the implementation

Identifiable and verifiable

Comply with the applicable tax and social legislation

Sound financial management (economy and efficiency)

SPECIFIC ELIGIBILITY CONDITIONS

Costs categories and calculation methods

TOTAL COSTS

NON-ELIGIBLE COST

ELIGIBLE COSTS

FINANCIAL REGULATION + ASAP

CALL FOR PROPOSALS

MGA - AGA

TOTAL COSTS

ELIGIBLE COSTS

CALL FOR PROPOSALS

MGA - AGA

FINANCIAL REGULATION + ASAP

TOTAL COSTS

NON-ELIGIBLE COST

ELIGIBLE COSTS

CALL FOR PROPOSALS

MGA - AGA

FINANCIAL REGULATION + ASAP

TOTAL COSTS

NON-ELIGIBLE COST

ELIGIBLE COSTS
Costs categories – Specific eligibility conditions

A. Personnel costs
   A.1 Employees
   A.2 Natural persons under direct contract
   A.3 Seconded persons by a third party against payments
   A.4 SME owners and natural persons not receiving a salary

B. Subcontracting

C. Purchase costs
   C.1 Travel and subsistence
   C.2 Equipment
   C.3 Other goods, works and services

D. Other costs
   D.4 Additional sector costs for access to finance

E. Indirect costs
A. Personnel costs (I)

Salaries, social security contributions, taxes and other costs linked to the remuneration if they arise from national law or the employment contract.

**ESTIMATION**

1. Obtain the daily rate for each profile:

   Daily rate = Annual personnel costs / Annual productive days (or 215)

2. Calculate costs for the project:

   A.1 COSTS = Estimated days worked in the action \times Daily rate
A. Personnel costs (II)

Remuneration and taxes for persons:
- Direct contract or secondment agreement with the person’s employer.
- Work conditions similar to employees (presence, supervision and remuneration based on working time).
- Result of the work belongs to the beneficiary.
- Cost comparable to employees.

**ESTIMATION**

1. Obtain the daily rate **according to the contract/agreement** provisions.
2. Calculate and include the costs for the project:

\[
\text{A.2 or A.3 COSTS} = \text{Estimated days worked in the action} \times \text{Daily rate}
\]
A. Personnel costs (III)

ESTIMATION

Calculate the costs in accordance with the methodology set out in Decision C(2020)7115:

\[
A.4 \text{ COSTS} = \text{Country-specific coefficient of the country where the beneficiary is established} \times \text{EUR 5 080} \times \text{Actual months of work in the action}
\]

* Commission Decision of 20 October 2020 authorising the use of unit costs for the personnel costs of the owners of small and medium-sized enterprises and beneficiaries that are natural persons not receiving a salary for the work carried out by themselves under an action or work programme (C(2020)7115).
B. Subcontracting costs

Costs of subcontracted tasks:

- Work performed in the EU countries or Norway.
- < 30% of the total costs per beneficiary (if more → justification needed).
- Subcontracting to other beneficiaries or AEs not allowed.

Attention to tasks that cannot be delegated (obligations of the coordinator or beneficiaries according to the GA)

B. COSTS = Amounts to be paid to the subcontractor
C. Purchase costs (I)

- Travel costs
- Accommodation
- Subsistence

**ESTIMATION**

Estimated travel costs will be benchmarked against references in Decision C(2021)35*

\[
C.1. \text{COSTS} = \text{Estimated amount for travel and accommodation} + \text{travel allowance (if applicable)}
\]

* Commission Decision of 12 January 2021 authorising the use of unit costs for travel, accommodation and subsistence costs under an action or work programme under the 2021-2027 multi-annual financial framework (C(2021)35).
C. Purchase costs (II)

- Full costs can be covered for both cost categories
- C.3 may include:
  - Consumables and supplies (e.g. raw materials, components, office supplies, software licenses, low-value assets)
  - Costs for project meetings (room rental, catering)
  - Financial guarantees if required by the granting authority.

ESTIMATION

C.2/ C.3. COSTS = Estimated amount to be paid to supplier
D. Other costs

- **Additional costs** arising from access to finance, such as loans, by the beneficiary. Must be:
  - in connection to the project;
  - charged to the beneficiary for the **sole reason** of the sector in which the beneficiary operates, i.e. defence.

- Interest rates and other costs usually charged by financial organisations regardless of the operating sector (civil or defence) or linked to the financial situation of the beneficiary are ineligible.

**ESTIMATION**

- **D.4. COSTS** = Additional sector charges by financial entity
E. Indirect costs

Costs not directly linked to the action (overheads)

ESTIMATION

\[ E. \text{ COSTS} = 7\% \times \text{Total eligible direct costs} \]
Ineligible costs

All costs not complying with general and specific eligibility rules.

Examples:

- costs of associated partners
- currency exchange losses
- deductible or refundable VAT
- costs of tasks carried out using infrastructure, facilities, assets and resources located outside the EU/Norway
C – Budget of the proposal

EU Funding Rate + Bonus
Up to 35 %

Of the eligible costs of an eligible action related to production capacities of relevant defence products.

Rationale…

Use of a single funding rate of 35%

➢ Simplify application forms
➢ Avoid complex assessment of which costs concern final products vs materials and components
➢ Comply with ASAP Regulation ("up to")

Exception!

- Support from the Instrument may cover up to 100 % of the eligible costs

- This applies only for cost category D4 (the additional sector cost for access to finance).

ASAP Regulation Art. 9 (1)
Possible 10 % Bonus

An action shall be eligible for an increased funding rate of + 10 % points where it fulfils either point (a) or (b) or (c).

a) Demonstrate creation of new cross border cooperation or,

b) Commitment to prioritise certain orders or,

c) Beneficiary/Majority of beneficiaries is/are SMEs or mid-caps

Note: You can apply for several types of bonuses but you can only obtain one bonus
Bonus A: New-Cross Border Cooperation

3 cumulative conditions below:

1. The involvement of one additional actor into an existing cross-border cooperation renders the actor “new”

2. The involvement of this new actor must be in the framework of ASAP

   (The cooperation will still qualify as new even if the concerned entity has already cooperated in the past outside the framework of ASAP activities);

3. As long as the additional actor/s have cumulatively a relevant role in the action

   (= At least more than 10 % of the total costs)
Bonus B: Commitment to prioritise

The additional funding can be awarded when applicants commit to prioritising for the duration of the action, orders stemming from the procurement of relevant defence products (ground-to-ground and artillery ammunition, missiles):

- By at least 3 Member States or associated countries (common procurement), or

- By at least 1 Member State for the purpose of transferring those relevant defence products to Ukraine.
Bonus C: SME/Mid-Cap

**SMEs*/mid-cap** established in Member States or in ASAP associated countries

- Where **the beneficiary = SME or mid-cap**
- Where the **majority of beneficiaries participating in a consortium = SMEs or mid-caps**

* Definition: EU Recommendation 2003/361/EC +
** Definition: in the call document

Note:
- You need to fill in the SME/Mid-cap self-assessment
- The result must be valid for the current and 2 previous years
- Details in later slides

Art 9 (2) point (c)
Proof of eligibility to bonus

How do I prove I comply with the bonus?

Assessment of “demonstration/commitment/status” at application stage based on content of Part B & Detailed Budget Table.

Explanatory paragraph to be provided by applicant.

➢ Will be the basis on which the bonus is assessed in the evaluation

➢ EC can request additional evidence (if obvious clerical error EC can ask for documents with short deadline)

➢ Insufficient justification means no + 10 % points
## Budget Table: Bonus: Y/N?

### Conditions

(a) You can demonstrate a contribution to the creation of new cross-border cooperation between entities established in Member States or associated countries.

(b) You commit to prioritizing, for the duration of the action, orders stemming:

(i) the common procurement of relevant defence products by at least three Member States or associated countries; or

(ii) the procurement of relevant defence products by at least one Member State for the purpose of transferring those relevant defence products to Ukraine.

(c) You are an SME or a mid-cap established in a Member State or in an associated country. Or the majority of beneficiaries participating in the consortium are SMEs or mid-caps established in Member States or in associated countries.

---

### Call ID of the call you are applying for:

---

### Topic ID of the topic for which you are submitting this proposal:

---

### Acronym of your proposal:

---

### Bonus

The baseline funding rate of ASAP is 35% of your eligible costs, but it can be increased to 45% if you meet at least one of the conditions hereafter.

**Do you meet one of these conditions allowing you to benefit from the increased funding rate of 45%?**

**Note:** you will have to provide consistent declarations and justifications in Part B of the proposal, and the elements provided will be verified by the Commission services during grant agreement preparation if your proposal is selected for award.

**YES**
D – Co-Financing & Retroactivity
An eligibility condition for the action: The Commission requests that the share of eligible costs that is not covered by the Union contribution is covered by any other sources.

How do I prove I had/will have co-financing?

1) Include co-financing declarations in your application (or equivalent document)

2) In the Detailed Budget Table
   
   a. Explain if the costs for the action were already financed or funded or,

   b. Explain if you have signed a legal commitment with another actor, to finance the action/or parts being submitted for funding

Article 9 (3)
Co-Financing: Already Financed Actions/Parts

Rules to Consider

If the action/part of an action has *already* been financed...

<table>
<thead>
<tr>
<th>FINANCING RATE (of the co-financing)</th>
<th>FINANCING INSTRUMENT</th>
<th>ELIGIBILITY FOR ASAP</th>
</tr>
</thead>
<tbody>
<tr>
<td>100 %</td>
<td>Non-Reimbursable</td>
<td>NO</td>
</tr>
<tr>
<td>100 %</td>
<td>Reimbursable</td>
<td>YES</td>
</tr>
<tr>
<td>Less than 100 %</td>
<td>Non-Reimbursable</td>
<td>YES (but ASAP financing rate may be capped not to go beyond 100 % of total eligible costs)</td>
</tr>
<tr>
<td>Less than 100 %</td>
<td>Reimbursable</td>
<td>YES</td>
</tr>
</tbody>
</table>

Article 8 (4) (c)
Co-Financing: Sources

Examples of Non-Reimbursable Financing Instrument

But not limited to...

- A procurement contract
- A grant

Examples of Reimbursable Financing Instrument

But not limited to...

- Loans
- Own financing
- Any other that would require reimbursements/repayments with the undertaking’s own funds
Co-Financing: Questions for Past financing

How do I prove I have already obtained co-financing?

Questions in Detailed Budget Table

- By which type of entity?
- When this happened/date of the signature?
- What the type of financing was
- The amount %
How do I prove I will have co-financing?

What matters is that there is a **legal commitment** (a contract, grant agreement or similar) by the co-financing entity/ies to provide the remaining funding.

- Ideally, this legal commitment should take place **before the signature of the grant agreement**
- The Commission may also accept that the finalisation of the commitment is done **during the course of the grant**

*Article 9 (3)*
Retroactivity

Actions which started prior to the date of the submission of the proposal may be covered by ASAP grants, as long as cumulatively:

1) Those actions did not start before 20 March 2023

- 20 March 2023 = Agreement of Council on three-track approach

2) Are not completed before signature of grant agreement.

- Completed = e.g. The product has been delivered and installed.
- e.g. Simply having outstanding invoices linked to the action will not mean that the action is still not completed.

Article 7 (2)
Proof of retroactivity

How do I prove I would have retroactivity?

If any actions (with costs) started prior to the date of the submission of the proposal for those actions

➢ Prove that the actions did not start before 20 March 2023

➢ Prove that the actions have not been completed before the signature of the grant agreement

CAREFUL: To factor in well the timing of completion of the action
### Budget Table: Co-Financing & Retroactivity

#### Project Income and Own Contribution

<table>
<thead>
<tr>
<th>Income 1</th>
<th>Description of the Income</th>
<th>Origin of the Income</th>
<th>Date(s) of entry into accounts</th>
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<tbody>
<tr>
<td>All work packages (entire action)</td>
<td>Short name for the action income (estimated or generated)</td>
<td>Amount in EUR</td>
<td>Type of income (identify the type of income, e.g. acquisition contract, and briefly describe its main characteristics)</td>
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Additional resources

• **Methodology** to establish lump sums in ASAP

• Managing lump sum grants across the project lifecycle: [how-to-manage-your-lump-sum-grants_en.pdf](https://europa.eu)

• More explanations and examples on costs in the AGA ([Annotated Grant Agreement](https://europa.eu))
4 – Participant Portal and Step-by-Step Submission Process

A. Support and references on the Portal
B. Register as a participant
C. Create and submit a proposal – fill in data on the Portal
D. Create and submit a proposal – Part B and Annexes
A – Support and references on the Portal
Where to find the ASAP Calls?

Explosives: ASAP-2023-LS-XPL-STEP and ASAP-2023-LS-XPL-FAST
Powder: ASAP-2023-LS-POW-STEP and ASAP-2023-LS-POW-FAST
Shells: ASAP-2023-LS-SHL
Missiles: ASAP-2023-LS-MIS
Testing & Reconditioning certification: ASAP-2023-LS-TRC

Due to technical constraints, ASAP Programme appears on the Portal under EDF Programme. However, these are two separate programmes with different conditions.
ASAP Topic page on the Portal

Topic description, the conditions to apply, reference documents, FAQs

Increased production capacity for explosives with strong coordination and close border cooperation

EDF-ASAP-2023-LS-XPL-STEP

Topic
Call for proposal

Internal navigation

General information

Programme
European Defence Fund

Call
Call for proposals focused on increased production capacity for explosives with strong coordination and close border cooperation

Type of action
EDF-LS EDF Lump Sum Grants

Deadline model
Single-stage

Topic description

Scope:
Any eligible action as defined in Article 8 of the call document

Topic condition and documents

1. Acceptability conditions: described in section 5 of the call document
2. Eligible countries: described in section 6 of the call document
3. Other eligibility conditions: described in section 6 of the call document
4. Financial and operational capacity and exclusions: described in section 7 of the call document
5. Evaluation and awards
6. Indicative timeline for call has been set up: described in section 10 of the call document

Call documents

Call document

Under ASAP, is production of fuses, guided fuses, rockets and mortars eligible?
Production of fuses and guided fuses are not eligible activities under ASAP. Production of rockets and mortars are.

Under ASAP, if a company produces powder, meaning raw material for 155mm artillery ammunition, are they eligible?
Yes, every company activity related to the supply chain of 155mm artillery ammunition are eligible. In cases where you think that your entity does not fall under the definition of "rocket”, you can contact us to verify your status.

Under ASAP, so as I know my entity does not fall under the definition of "rocket”, can I apply for financing?
In cases where you have self-assessed that, to the best of your knowledge and based on supporting documentation, your activity does not fall under the definition of "rocket", you can. You can contact us if you need more information.

Under ASAP, where can I get more information on the Foreign Direct Investment Regulation (EU) 2019/422 available online and more information about the EU framework for State aid rules applicable to Member States' co-financing of military equipment?
The call to proposals focuses on production capacity for explosives with strong coordination and close border cooperation. You can find more information on the Foreign Direct Investment Regulation (EU) 2019/422 available online and more information about the EU framework for State aid rules applicable to Member States' co-financing of military equipment. You can contact us for further information.

Instrument on supporting ammunition production (ASAP)

Call for proposals

Call for proposals focused on increased production capacity for explosives with strong coordination and close border cooperation

EDF-ASAP-2023-LS-XPL-STEP
Download the call document for more convenience
B – Register as a participant
Registration as a participant

All participants (by organisation) have to be registered through the Funding and Tender Portal to create a PIC (Participant Identification Code)

You can create a new PIC or find an existing PIC for your organisation through the Portal

Filling in SME/Midcap status (if relevant)

- Grounds to a bonus for SME/mid-cap action
- Used in assessing proposal against award criterion on ‘Resilience through Cross-border Cooperation’

- Access the Participant Register, log on into the Funding & Tenders Portal, go to “My Organisation(s)” within “Manage my area” on the left side. Click on the “Actions” button and select “Modify organization”.
- Go to the “SME” tab and click on “Start SME self-assessment” to start the SME questionnaire.

SME self-declaration is not sufficient
Filling in SME/Midcap status (if relevant)

Use latest data available (and not older than 31/12/2021)

Important that coordinators check as soon as possible that self-assessments have been performed (*participants information, Part A*)

See [SME wizard](#) for guidance

**REMEMBER TO SUBMIT!**

Final validation will be performed by the Central Validation Service during Grant Agreement preparation.
Mid-cap self-registration

For **Midcap assessment**, complete the SME self-assessment first, in order to be able to self-declare as a Mid-cap

**Note:** Be aware that your entity will not be considered a mid-cap if 25 % or more of the capital or voting rights are directly or indirectly controlled, jointly or individually, by one or more public bodies.
C – Create and submit a proposal - fill in data on the Portal
# Parts of the proposal

<table>
<thead>
<tr>
<th>Part A</th>
<th>Part B and Annexes</th>
</tr>
</thead>
<tbody>
<tr>
<td>filled in on the Portal</td>
<td>filled in offline and uploaded onto the Portal</td>
</tr>
<tr>
<td>Structured information on participants, basic information on the proposal</td>
<td>Part B application form, Detailed budget table, Participant information, Cofinancing declarations, Ownership control Declarations, FDI screening declarations</td>
</tr>
</tbody>
</table>

- Part A: Filled in on the Portal
  - Structured information on participants, basic information on the proposal

- Part B and Annexes: Filled in offline and uploaded onto the Portal
  - Part B application form: classified at the level of EU Restricted
  - Detailed budget table: macro-enabled file - do not wait for the last moment to test
  - Participant information: not necessary for associated partners and subcontractors
  - Cofinancing declarations: filled in and signed by the cofinancers
  - Ownership control Declarations: not necessary for associated partners and subcontractors
  - FDI screening declarations: not necessary for associated partners and subcontractors
Start submission, access the submission platform

To access the Electronic Submission Service, please click on the submission-button next to the type of action and the type of model grant agreement that corresponds to your proposal. You will then be asked to confirm your choice, as it cannot be changed in the submission system. Upon confirmation, you will be linked to the correct entry point.

To access existing draft proposals for this topic, please login to the Funding & Tenders Portal and select the My Proposals page of the My Area section.

Please select the type of your submission:
- EDF Lump Sum Grant (EDF-LS), EDF Lump Sum Grant (EDF-AG-LS)

Start submission
Download proposal templates

Fill in templates offline, assemble them in a single password-protected zip archive and upload it on the submission system at the end of the process.
Create your draft proposal

• Select coordinator via PIC or short name
  ➢ Your organisation needs to be registered on the **Participant Register** to get a PIC!

• Choose an acronym for your proposal and provide a short summary

• Clicking to “Save and Go to Next step” will formally create your draft proposal

*Should not contain confidential information*
Preregistration information is minimal information on the proposal (coordinator, partners, acronym, summary) to allow the Commission to plan the evaluation.

Dear Proposal Participant,

You have successfully created a draft proposal in the Funding & Tenders Portal Submission System:

Proposal acronym: test

Draft proposal: SEP-210998507 (a final Proposal ID will be assigned as soon as the proposal is submitted)
Add your partners, affiliated entities and associated partners

Note that all participants need to be registered at the Portal
Fill in the structured proposal information (Part A)

Proposal number that you’ll need in “Detailed budget table” annex
General Information (Part A)

Abstract *

The abstract should provide the reader with a clear understanding of the objectives of the proposal, how they will be achieved, and their relevance to the Work Programme. This summary will be used as the short description of the proposal in the evaluation process and in communications to the programme management committees and other interested parties. It must therefore be short and precise and should not contain confidential information.
Information from participant registration
Lump sum budget, Other questions and Validation Result (Part A)

Complete budget to be filled in the Detailed budget table annex and resulting value (Sheet Summary per participant / Column Requested EU contribution) reported here
D. Create and submit a proposal - Part B and Annexes
Part B – classified at the level of EU Restricted

• Part B is classified at the level of RESTREINT-UE/EU-RESTRICTED*, each page of the documents must be marked accordingly

(RESTREINT-UE/EU-RESTRICTED header and footer, centered, font size 14, black)

• In order to submit the Part B electronically the document MUST be encrypted with approved cryptographic products, then uploaded through the Portal in a zip-file.

• For this, prior contact with the Commission is required at least 10 days before the call deadline. Please send request to DEFIS-ASAP-PROPOSALS@ec.europa.eu, copied to DEFIS-DEFENCE-SECURITY@ec.europa.eu

* In accordance with the provisions of COMMISSION DECISION (EU, Euratom) 2015/444 of 13 March 2015 on the security rules for protecting EU classified information.
Option: If you are not in the position to use approved cryptographic products for Part B

- Classified information (i.e. the Part B and classified annexes, if any) can be sent in electronic file (searchable PDF) in a USB, properly marked (R-UE/EU-R), in a double envelope via registered postal service (DHL preferred) to the following address:

  CALL FOR PROPOSALS - ASAP  
  European Commission  
  Directorate General Defence Industry (DEFIS) DIR A/A4  
  Avenue d'Auderghem 45  
  1049 Brussels  
  Belgium

- The sending date must be before the deadline for submission of the proposals
Upload Application forms (Part B and annexes)

1) Part B (RESTREINT-UE/EU-RESTRICTED, encrypted with approved offline encryptors) and
2) other Annexes (not encrypted)

→ Combined into password-protected single zip archive (using AES-256 encryption method, with a size of less than 100 MB) uploaded in the Portal Submission System
Part A (structured data) Filled in on Participant Portal

Option 1: Part B (EU Restricted) encrypted with approved offline encryptors

Option 2: Part B (EU Restricted) no appropriate encryption functionality

Prior contact with Commission necessary

Added to a password-protected zip file (encryption AES-256 method) that is submitted on Participant Portal

Sent by registered post (DHL) in a USB, properly marked (R-UE/EU-R), in a double envelope to the Commission

Annexes (unclassified)
Submission, validations, confirmation, further editing

Confirmation will be displayed and **you will receive a confirmation email**

You can then:

- Update your proposal
- Download it to check content and e-receipt
- Withdraw your proposal

**Send the password of the uploaded zip-file** (and any other passwords used) to **DEFIS-ASAP-PROPOSALS@ec.europa.eu** (with the proposal ID and the name of the zip archive).
Proposal check-list

- Did someone proofread your proposal text for clarity?
- Did you include all the templates required?
- Are the annexes that require a signature signed in blue ink or a valid electronic signature?
- Do budget information in Part A match the one of the detailed budget table (sheet “Summary per applicant”, column Requested EU contribution”)?
- Do you have the same applicants (beneficiaries, affiliated entities, associated partners) in Part A and the detailed budget table?
- Did you answer all the questions in the application form, considering that this will be the basis on which your proposal is evaluated?

- Did all SME and Midcap participants fill in and submit the SME assessment, using latest available data?
- Did all Midcaps self-declare as Midcaps?
- Did you detail the source(s) of co-financing (Member States contributions and/or private contributions) that will cover the rest of the budget (up to 100%)?
- Did you send the password of the uploaded zip-file (and any other passwords used) to DEFIS-ASAP-PROPOSALS@ec.europa.eu (with the proposal ID and the name of the zip archive).
Finally

• Submission system raises some warnings where information provided may impact eligibility of application but not all eligibility conditions are checked by the system. It is the responsibility of the coordinator and partners to verify that the eligibility conditions set out in the call document are met.

• Do not leave the submission to the last minute. You can always go back, edit, reopen and resubmit the proposal.

• Do not feel obliged to reach the maximum page limit for Part B. Quantity does not necessarily mean quality! But application files need to be complete!

• Some of Annexes/Supporting documents require approval/signature. Anticipate!

Remaining questions regarding application to ASAP calls for proposals?

DEFIS-ASAP-PROPOSALS@ec.europa.eu
5 – Security aspects
Security aspects

- Following a comprehensive risk assessment, the implementation of ASAP, including the submission of proposals, has been identified as involving and generating information the unauthorised disclosure of which could be disadvantageous to the interests of the European Union or of one or more of the Member States, including entities and individuals.

- As risk mitigation measures, it has been assessed that the inclusion of all sensitive information in Part B of the proposal and its subsequent classification at the level of EU RESTRICTED, would make the residual risk acceptable.
Security aspects

• Security Instructions (PSI) have been prepared in close coordination with the Commission and the Member States Security authorities;

• The document will be available soon at the following link: https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/edf/guidance/psi_asap_en.pdf
Thank you for your attention

All the best for your application!