



*Call for Expression of Interest*

**IN ORBIT DEMONSTRATION/VALIDATION**

**“READY TO FLY” IOD/IOV SATELLITES**



PROGRAMME OF THE  
EUROPEAN UNION

co-funded with



→ THE EUROPEAN SPACE AGENCY

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		▪	

# CALL FOR EXPRESSION OF INTEREST

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## 0. Introduction

This is a call for Expression of Interest to gather “ready to fly” IOD/IOV satellites, which could be considered for IOD/IOV Flight Ticket actions under the **EU HORIZON EUROPE R&I PROGRAMME and ESA BOOST! PROGRAMME**.

The regulatory framework for this EU Funding Programme is set out in:

- Regulation 2018/1046 ([EU Financial Regulation](#))
- the basic act Regulation (EU) 2021/695 establishing Horizon Europe – the Framework Programme for Research and Innovation Horizon Europe.

The call is launched in accordance with the 2023 – 2024 Work Programme<sup>1</sup> and will be managed by the **European Commission, Directorate-General for Defence Industry and Space (DG DEFIS)** with the participation of **ESA**.

These documents provide clarifications and answers to questions you may have when preparing your application:

- the Call Document (Expression of Interest) outlines the:
  - background, objectives, activities that can be funded and the expected results (sections 1 and 2)
  - timetable and deadline (section 3)
  - admissibility and eligibility conditions (including mandatory documents; sections 4 and 5)
  - exclusion (section 6)
  - analysis and selection process (section 7)
  - criteria (section 8)
  - constraints and requirement (section 9)
  - legal effects of the call (section 10)
  - how to submit an application, help and data protection (sections 11, 12, 13)
  - important information (section 14)

## 1. Background

One of the main objectives of the space strategy for Europe is to foster a globally competitive and innovative European space sector in particular by improving support to technological maturation, for sub-systems, equipment and technologies, including in-orbit demonstration and validation activities, to reduce time to market.

To ensure European non-dependence and competitiveness in technologies, there is a clear need for a regular, sustainable, cost-effective and responsive In Orbit Demonstration/Validation (IOD/IOV) service in Europe. Space flight heritage in real conditions and environment is often required to de-risk innovations such as new

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<sup>1</sup> European Commission Decision C(2022)7550 of 6 December 2022 concerning the adoption of the Work Programme 2023 – 2024 7. Digital, Industry and Space.

technologies, products, concepts, architectures, and operations techniques are they for unique or recurrent, institutional or commercial missions.

For this purpose, a set of activities to provide IOD/IOV services were introduced in the European Union Horizon 2020 programme for Research and Innovation. These activities are continued also under the successor programme for Research and Innovation, Horizon Europe<sup>2</sup> that cover all necessary tasks to prepare, provide and operate spacecraft(s), together with the related ground segment.

Likewise, ESA, under its Boost! programme, selects and co-funds space transportation services on a competitive basis among European space transportation services for the purpose of demonstrating and qualifying in orbit technologies or sub-systems on board of “ready to fly” IOD/IOV satellites on a regular basis.

In this endeavour, the European Commission and the European Space Agency are jointly carrying out a Flight Ticket Initiative with the **objective** to:

- stimulate new European space transportation solutions through open competition for the procurement of launch services;
- provide regular opportunities of affordable and responsive launch services for European “ready to fly” IOD/IOV satellites;
- provide regular opportunities of affordable and responsive launch services for other EU IOD/IOV missions and possibly EU institutional missions.

The flight ticket initiative for IOD/IOV missions will use exclusively European manufactured launchers.

In line with Horizon Europe, the European Commission entrusts the European Space Agency (ESA) with the execution of IOD/IOV activities that include:

- i. Participate in the pre-selection of “ready to fly” IOD/IOV satellites;
- ii. Interface with “ready to fly” IOD/IOV satellites providers;
- iii. Procure and manage launch services for “ready to fly” IOD/IOV satellites.

## 2. Objectives

The purpose of this Call for Expression of Interest is to gather “ready to fly” IOD/IOV satellites, which could be considered for IOD/IOV Flight Ticket actions.

“Ready to fly” IOD/IOV satellites are satellites with a payload or a set of payloads including innovative technologies, products, concepts, architectures, and operations techniques that require in orbit demonstration/validation.

Only satellites which have reached a sufficient maturity level will be considered. It shall be noted that “ready to fly” IOD/IOV satellites shall bear the costs of their own development up to and including the flight models as well as contribute to the co-funding of the launch service at a level specified in Chapter 9.

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<sup>2</sup> Horizon Europe Work Programme 2021-2022, 7. Digital, Industry and Space (European Commission Decision C(2021)7804 of 28 October 2021), and Horizon Europe Work Programme 2023 – 2024, (European Commission Decision C(2022)7550 of 6 December 2022).

### 3. Timetable and deadlines

Timetable and deadlines	
Call opening:	31 March 2023
Deadline for registration of interest to apply:	one month prior to the relevant cut-off date
Call deadline	15 March 2026 – 18:00 CET (Brussels)
<u>Cut-off date for application:</u>	<ol style="list-style-type: none"> <li>1. 31 May 2023, 18:00 (CET);</li> <li>2. 15 March 2024, 18:00 (CET);</li> <li>3. 2 September 2024, 18:00 (CET);</li> <li>4. 15 March 2025, 18:00 (CET);</li> <li>5. 1 September 2025, 18:00 (CET);</li> <li>6. 15 March 2026, 18:00 (CET).</li> </ol>
Evaluation:	2 – 4 month after cut-off dates
Information on evaluation results:	4 – 6 month after cut-off dates

For organisation purposes, applicants are kindly invited to register by email to [DEFIS-IOD-IOV@ec.europa.eu](mailto:DEFIS-IOD-IOV@ec.europa.eu) their intention to apply. The registration of interest does not entail any obligation to participate.

### 4. Admissibility and documents

Applications must be submitted before the applicable **call cut-off date** (see *timetable section 3*).

Applications shall be sent to [DEFIS-IOD-IOV@ec.europa.eu](mailto:DEFIS-IOD-IOV@ec.europa.eu) with "**Call for Expression of Interest – READY TO FLY IOD/IOV SATELLITES**" in the subject line, together with the completed annexed forms.

Applications must be **complete** and contain all the requested information and all required annexes and supporting documents:

- Part I – Application and compliance matrix;
- Part II – Commitment on Flight Model delivery;
- Part III – Declaration of honour on exclusion criteria and absence of conflict of interest;
- Part IV – Legal Entity form.

Your application must be **readable, accessible, printable**.

Applications are limited to maximum **30 pages** (Part I). Members of the Jury will not consider any additional pages.

## 5. Eligibility

### *Eligible participants (eligible countries)*

In order to be eligible, the applicants must:

- be legal entities (natural persons, public or private bodies<sup>3</sup>)
- be established in one of the eligible countries, i.e.:
  - EU Member States (including overseas countries and territories (OCTs));
  - countries associated to the Horizon Europe Programme or countries which are in ongoing negotiations for an association agreement<sup>4</sup>;
  - ESA Member States;

### *Specific cases*

Countries currently negotiating association agreements — Applicants from countries with ongoing negotiations (*see above*) may participate in the call and be considered for IOD/IOV actions if the negotiations are concluded before the end of the pre-selection process.

EU restrictive measures — Special rules apply for certain entities (*e.g. entities subject to [EU restrictive measures](#) under Article 29 of the Treaty on the European Union (TEU) and Article 215 of the Treaty on the Functioning of the EU (TFEU)*<sup>5</sup> and entities covered by Commission Guidelines No [2013/C 205/05](#)<sup>6</sup>). Such entities are not eligible to participate in any capacity.

## 6. Exclusion

Applicants which are subject to an **EU exclusion decision** or in one of the following **exclusion situations** that bar them from receiving EU support shall be excluded from participating<sup>7</sup>:

- bankruptcy, winding up, affairs administered by the courts, arrangement with creditors, suspended business activities or other similar procedures (including procedures for persons with unlimited liability for the applicant's debts)
- in breach of social security or tax obligations (including if done by persons with unlimited liability for the applicant's debts)
- guilty of grave professional misconduct<sup>8</sup> (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the implementation of the call for Expression of Interest)

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<sup>3</sup> For the legal definition, see Article 156 EU Financial Regulation [2018/1046](#).

<sup>4</sup> [https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/list-3rd-country-participation\\_horizon-euratom\\_en.pdf](https://ec.europa.eu/info/funding-tenders/opportunities/docs/2021-2027/common/guidance/list-3rd-country-participation_horizon-euratom_en.pdf)

<sup>5</sup> Please note that the EU Official Journal contains the official list and, in case of conflict, its content prevails over that of the [EU Sanctions Map](#).

<sup>6</sup> Commission guidelines No [2013/C 205/05](#) on the eligibility of Israeli entities and their activities in the territories occupied by Israel since June 1967 for grants, prizes and financial instruments funded by the EU from 2014 onwards (OJEU C 205 of 19.07.2013, pp. 9-11).

<sup>7</sup> See Articles 136 and 141 EU Financial Regulation [2018/1046](#).

<sup>8</sup> Professional misconduct includes: violation of ethical standards of the profession, wrongful conduct with impact on professional credibility, false declarations/misrepresentation of information, participation in a

- committed fraud, corruption, links to a criminal organisation, money laundering, terrorism-related crimes (including terrorism financing), child labour or human trafficking (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the implementation of the call for Expression of Interest)
- shown significant deficiencies in complying with main obligations under an EU procurement contract, grant agreement, call for Expression of Interest, expert contract, or similar (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the implementation of the call for Expression of Interest)
- guilty of irregularities within the meaning of Article 1(2) of Regulation No [2988/95](#) (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the implementation of the call for Expression of Interest)
- created under a different jurisdiction with the intent to circumvent fiscal, social or other legal obligations in the country of origin or created another entity with this purpose (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the implementation of the call for Expression of Interest).

**Applicants will be refused if it turns out that during the application process they misrepresented information required as a condition for participating or failed to supply that information.**

The objective of the exclusion criteria is to assess whether the applicant is in any of the exclusion situations listed in Article 136(1) of the Financial Regulation.

Applicants found to be in an exclusion situation will be rejected.

As evidence of non-exclusion, each applicant needs to submit with its application a Declaration on Honour in the model available in Part III. The declaration must be signed by an authorised representative of the entity.

The initial verification of non-exclusion of applicants will be done on the basis of the submitted declarations and consultation of the [European Union's Early Detection and Exclusion System](#).

You may be asked at a later stage for further supporting documents on non exclusion.

## **7. Analysis and selection process**

### *Analysis of received applications*

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cartel or other agreement distorting competition, violation of IPR, attempting to influence decision-making processes or obtain confidential information from public authorities to gain advantage.



All applications will be subject to a analysis by a **jury** of independent experts and ESA experts.

The jury will analyse each application against the criterias as per chapter 8.

All participants will be informed about the outcome of their application (**analysis summary letter**). Successful applications will be retained for the next steps; the not successful ones will be rejected.

If you believe that the analysis procedure was flawed, you can submit a **complaint** (following the deadlines and procedures set out in the **analysis summary** letter).

### *Pre-selection*

Applications that meet the threshold for each criterion will be pre-selected.

“Ready to fly” IOD/IOV satellites will be given priority based on:

1. Innovations developed or funded in the frame of Union programmes and/or ESA initiatives;
2. Applications that received the highest overall score, in case of *ex-æquo*, experiments that received a higher score on the innovation criterion will be given priority.

### *“Ready to fly” IOD/IOV satellite selection*

The final selection of the “ready to fly” IOD/IOV satellite will be confirmed, considering available resources, and based on flight availability.

### *Way Forward*

The selected “ready to fly” IOD/IOV satellite shall deliver their flight model within one year following the cut-off date of application.

The launch service for the selected “ready to fly” IOD/IOV satellites will be subject to a separate procurement process in the endeavour to provide a launch service within 18 months following the cut-off date of application.

## 8. Criteria

If admissible and eligible, the applications will be analysed and ranked against the following **criteria**:

- **Criterion 1:** Technical fit
- **Criterion 2:** Programmatic fit
- **Criterion 3:** Innovation

Criteria	Minimum pass score	Maximum score
<b>Technical fit</b>  Demonstration of readiness of candidate satellite to be considered for the launch service;  Substantiation of compatibility with European launch services.	25	40
<b>Programmatic fit</b>  Justification of the in-orbit demonstration/ validation need of the mission.  Justification of programmatic elements (e.g. risks, planning, funding, development plan, etc.) and compliance with overall planning for flight model delivery.	25	40
<b>Innovation</b>  Demonstration of the innovation content and potential.  Justification of policy relevance with EU/ESA activities.	10	20
<b>Overall (pass) scores</b>	<b>60</b>	<b>100</b>

Applications must pass both the individual thresholds AND the overall threshold.

## 9. Constraints and requirements

### *Co-funding for standard launch services*

In case the candidate ready-to-fly IOD/IOV satellite provider is a for-profit private entity, it shall be ready to co-fund the launch service at the levels referred to in the following table, depending on the type of satellite:

Contribution from IOD/IOV satellite provider to standard launch service price										
1U	2U	3U	6U	12U	16U	50kg	100kg	150kg	200kg	>200kg
15k€	30k€	35k€	70k€	90k€	100k€	110k€	220k€	310k€	400k€	2k€ per kg

In case the candidate ready-to-fly IOD/IOV satellite provider is a university or a research institute without further commercial interest, EU/ESA funding for launch services may rise to 100%.

### *Other requirements*

The "ready to fly" IOD/IOV satellite shall:

- a) Be compatible with European launch services;
- b) Not have direct commercial interest but shall aim at demonstrating/ validating a capacity;
- c) Be delivered for launch services within one year following the application cut-off date;
- d) Be compatible with latest directives on debris mitigations.

In addition, the "ready to fly" IOD/IOV satellite provider shall:

- e) Ensure that the satellite provided for launch will perform its functions as intended with a high probability of success;
- f) Perform all activities as per the agreement with the selected launch service provider including the establishment of all technical and programmatic interfaces, transport, launch campaign;
- g) Provide adequate visibility to ESA given its role to ensure the overall coherence of interfaces.

### **10. Legal effects of the Call for Expression of Interest**

This Call is not binding for the European Commission nor for the European Space Agency and does not preclude future decisions.

The European Commission may cancel the Call for Expression of Interest without applicants being entitled to claim any compensation. This decision must be substantiated and applicants notified.

### **11. How to submit an application**

All applications must be submitted **in English** to [DEFIS-IOD-IOV@ec.europa.eu](mailto:DEFIS-IOD-IOV@ec.europa.eu) with **"Call for Expression of Interest – READY TO FLY IOD/IOV SATELLITES"** in the subject line, together with the completed annexed forms.

The application must be submitted **before the relevant cut-off date** (see section 4). Applications received after this deadline, will be considered for the subsequent cut off date, as applicable.

### **12. Help**

Any requests for additional information must be made in writing to the European Commission using the following e-mail address [DEFIS-IOD-IOV@ec.europa.eu](mailto:DEFIS-IOD-IOV@ec.europa.eu).

Additional information can be found on the IOD/IOV website<sup>9</sup>

### **13. Data protection**

If processing an application in response to this Call for Expression of Interest involves the recording and processing of personal data (such as name, address), such data will be processed pursuant to Regulation (EU) 2018/172510 of 23 October 2018 on the

<sup>9</sup> [https://defence-industry-space.ec.europa.eu/eu-space-policy/eu-space-research/orbit-demonstration-and-validation-iodiov\\_en](https://defence-industry-space.ec.europa.eu/eu-space-policy/eu-space-research/orbit-demonstration-and-validation-iodiov_en)

<sup>10</sup> OJ L 295, 21.11.2018, p. 39

protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC. Unless indicated otherwise, any personal data will be processed solely for the purpose of analysis under this Call for Expression of Interest by the European Commission acting as data controller. Details concerning the processing of your personal data are available in the privacy statement attached to this Call.

The applicant's personal data may be registered in the Early Detection and Exclusion System (EDES) if the applicant is in one of the situations mentioned in Article 136 FR.

#### 14. Important



##### IMPORTANT

- **Joint applications** — Joint applications by a group of applicants are admitted. In this case, you must appoint a lead applicant (coordinator) to submit the application and represent you towards the awarding authority. All applicants will be jointly responsible and must all fulfil and respect the conditions set out in these Rules of Contest.
- **Resubmission** — Applications may be changed and re-submitted until the deadline for application.
- **Rejection** — By submitting the application, all applicants accept the call conditions set out in this document. Applications that do not comply with all the call conditions will be **rejected**. This applies also to applicants: All applicants need to fulfil the criteria; if any one of them doesn't, they must be replaced or the entire application will be rejected.
- **Cancellation** — The European Commission and the European Space Agency may cancel the call or decide not to retain any application — without any obligation to compensate participants. In this case, you will be informed via a call update.
- **Language** — You can submit your application in **English**.
- **Transparency** — Details on selected applicants shall be published on the website of the European Commission as soon as possible. These details include name and country of the applicant.

## Part I: Application and Compliance Matrix

*The application should not exceed 30 pages.*

<b>1. Name of the proposed actions</b>	
<b>2. Applicant: Entity(ies) making the proposal and type (e.g. individual, consortium, industry, research centre, university)</b>	
Name of organisation(s) ("ready to fly" IOD/IOV satellite provider)	
Country (ies)	
Person(s) <sup>11</sup> responsible for implementation	
Phone(s)	
Email(s)	
<b>3. Executive summary</b>	
<b>4. Objective of the proposed "ready to fly" IOD/IOV satellite</b>	
<b>5. Themes</b> <i>(e.g. Earth observation, Telecommunication, Navigation, Space Science, Technology, Space environment, quantum, connectivity)</i>	
<b>6. Justification of the need for IOD/IOV</b> <i>Explain the necessity of the flight demonstration with respect to other options on ground and/or in space. Provide an overview of the exploitation plan following the launch.</i>	
<b>7. Previous space application/heritage of the proposed IOD/IOV mission</b>	

<sup>11</sup> Where the application is being made by a group, one lead person should be identified.

**8. Funding plan of the “ready to fly” IOD/IOV satellite up to flight model delivery and associated tasks**

*Detailed description of the “ready to fly” IOD/IOV satellite funding plan in terms of:*

- *funding for development, including amount, date of availability of funds and remaining conditional clauses, if any, for the obtaining of these funds,*
- *risk margin for, inter alia, potential additional qualification requirement, funding party(ies) demonstrated by letter(s) of intent)*

**9. Detailed development plan**

*Describe the development activities and related planning from the current status up to flight model delivery including*

- *Design,*
- *Remaining development activities,*
- *Models definition and manufacturing (Engineering Model, etc.),*
- *Tests and qualification status up to flight readiness (Manufacturing Assembly Integration Testing/ Validation plan).*

*An associated risk log should be included if any significant risk still remains with the development and schedule.*

**10. Policy relevance**

*Describe the relevance of the proposed IOD/IOV mission with respect to EU/ ESA activities*

**11. Complementarity**

*Describe how your “ready to fly” IOD/IOV satellite is complementary to other existing/ planned actions within EU, ESA, EU Member States/ associated countries or industry and consistent with Union and ESA technology programs and trends.*

**12. Detailed description of the “ready to fly” IOD/IOV satellite and justified compliance with launcher interfaces**

- a) Functional description of the “ready to fly” IOD/IOV satellite**  
*Provide general functional description of the “ready to fly” IOD/IOV satellite.*
- b) Technical presentation of the “ready to fly” IOD/IOV satellite**  
*Present the technical specifications, components and technologies existing or to be developed, sources of supply, maturity level.*
- c) Engineering drawings of the “ready to fly” IOD/IOV satellite**  
*Provide the engineering drawing of the “ready to fly” IOD/IOV satellite and, for Cubesats, present the compatibility with Cubesat standards;  
Indicate the required volume including all appendages, baffles etc.*
- d) Total mass of the “ready to fly” IOD/IOV satellite; mass breakdown and margins**  
*Provide the overall mass of the “ready to fly” IOD/IOV satellite including possible appendages, brackets, thermal hardware etc.*
- e) “Ready to fly” IOD/IOV satellite Mechanical Interfaces**  
*Indicate current mechanical qualification approach and environment.*
- f) Launcher interface requirements**  
*Describe your satellite interface requirements with European launchers.*
- g) Additional environment requirements**  
*Describe any requirement beyond the following typical environment (e.g. contamination and cleanliness requirements, magnetic cleanliness, etc.)*

**13. Mission needs**

*Describe:*

- *acceptable orbit range and local time(s), (typical orbits can be found on the respective European launcher user manuals),*
- *compatibility with applicable legislation,*
- *other specific needs as applicable*

**14. Innovation**

*Describe the innovative character of the proposed “ready to fly” IOD/IOV satellite in terms of:*

- *European technology non-dependence, and*
- *Industrial competitiveness and related commercial prospects (business case following IOD/IOV opportunity), or*
- *Scientific breakthrough and related scientific application and/or exploitation.*

**15. Please use this space to provide any other comments or additional information.**

**16. Compliance matrix**

*Please provide a declaration of compliance to the constraints and requirements listed in §9 (Constraints and Requirements) of this document. Please provide rationale in case of partial and non- compliance.*

**17. Signature of organisation/partnership representatives:**

The signature certifies that the provided data result from the entity's commitments. In addition, the entity engages itself to inform immediately the Commission (by email to [DEFIS-IOD-IOV@ec.europa.eu](mailto:DEFIS-IOD-IOV@ec.europa.eu) if any changes occur.

At any stage of the “ready to fly” IOD/IOV satellite development, the entity may be requested to provide additional information to the Commission if necessary.

Name

Position

Date



**Part II: Commitment on Flight Model delivery and Launch Service Co-funding**

The undersigned, ....., in my capacity as.....confirm the availability of the funding required for the Flight Model development and delivery within one year following this application.

I also confirm the ability to co-fund the associated launch service in line with Chapter 9 of this call, for the In orbit Demonstration/ Validation (IOD/IOV) “ready to fly” satellite .....

I acknowledge that failure to comply with the timely delivery of the Flight Model, as indicated in the planning, and co-funding for the associated launch service may result in an exclusion of the “ready to fly” IOD/IOV satellite from this initiative.

Date .....

Place .....

Signature .....

### Part III: Declaration of honour on exclusion criteria and absence of conflict of interest

*(To be filled out by the applicant and signed by its legal representative.)*

I, the undersigned:

for natural persons: in my own name

or

for legal persons<sup>12</sup>: representing the following entity:

[insert full official name]

[insert full official address]

[insert VAT registration number]

**hereby confirm**

that (subject to the additional declarations below):

- 1 — The **information** provided for action [insert project number] — [acronym] is **correct** and **complete**.
- 2 — The information concerning the legal status in the [Participant Register](#) for me/my organisation is correct and complete.
- 3 — I/my organisation commit to comply<sup>13</sup> with the **eligibility** criteria and all other conditions set out in the call conditions — for the entire duration of the action.
- 4 — I/my organisation:
  - are committed to participate in the action
  - have stable and sufficient sources of funding to maintain the activities throughout the action and to provide any counterpart funding necessary
  - have or will have the necessary resources needed to implement the action
  - acknowledge to be fully responsible for my affiliated entities which participate in the action
  - for actions involving EU classified information (EUCI): acknowledge that any sensitive information or material that qualifies as EU classified

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<sup>12</sup> This includes 'entities without legal personality' under Article 197(2) of Regulation (EU, Euratom) 2018/1046 of the European Parliament and of the Council of 18 July 2018 on the financial rules applicable to the general budget of the Union, amending Regulations (EU) No 1296/2013, (EU) No 1301/2013, (EU) No 1303/2013, (EU) No 1304/2013, (EU) No 1309/2013, (EU) No 1316/2013, (EU) No 223/2014, (EU) No 283/2014, and Decision No 541/2014/EU and repealing Regulation (EU, Euratom) No 966/2012 ('[EU Financial Regulation](#)') (OJ L 193, 30.7.2018, p. 1).

<sup>13</sup> 'Commit to comply' means complying now and for the duration of the grant.

information under Commission Decision [2015/444](#)<sup>14</sup> must be handled in accordance with specific rules and follow the instructions given by the EU

5 — For applicants from non-EU countries: I/my organisation

- undertake to comply with the obligations under the agreement and to:
  - respect general principles (including fundamental rights, values and ethical principles, environmental and labour standards, rules on classified information, intellectual property rights, visibility of funding and protection of personal data)

6 — I/my organisation:

- are NOT subject to an **administrative sanction** (i.e. exclusion or financial penalty decision)<sup>15</sup>

I/my organisation (or persons with unlimited liability for debts):

- are NOT in one of the following **exclusion situations**<sup>16</sup>:
  - **bankrupt**, being wound up, having the affairs administered by the courts, entered into an arrangement with creditors, suspended business activities or subject to any other similar proceedings or procedures
  - in breach of **social security** or **tax** obligations

I/my organisation (or persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the action):

- are NOT in one of the following **exclusion situations**<sup>17</sup>:
  - guilty of grave professional **misconduct**<sup>18</sup>
  - committed fraud, corruption, links to a **criminal** organisation, money laundering, terrorism-related crimes (including terrorism financing), child labour or human trafficking
  - shown significant deficiencies in complying with main obligations under an **EU procurement contract**, grant agreement, prize, expert contract, or similar
  - guilty of **irregularities** within the meaning of Article 1(2) of Regulation No 2988/95

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<sup>14</sup> See Commission Decision 2015/544/EU, Euratom of 13 March 2015 on the security rules for protecting EU classified information (OJ L 72, 17.3.2015, p. 53).

<sup>15</sup> See Article 136 [EU Financial Regulation](#).

<sup>16</sup> See Articles 136 and 141 [EU Financial Regulation](#).

<sup>17</sup> See Articles 136 and 141 [EU Financial Regulation](#).

<sup>18</sup> Professional misconduct includes: violation of ethical standards of the profession, wrongful conduct with impact on professional credibility, false declarations/misrepresentation of information, participation in a cartel or other agreement distorting competition, violation of IPR, attempting to influence decision-making processes or obtain confidential information from public authorities to gain an advantage.

- created under a different jurisdiction with the intent to circumvent fiscal, social or other legal obligations in the country of origin (including creation of another entity with this purpose).
- 7 – I/my organisation are NOT subject to a **conflict of interest** in connection with this Call and will notify — without delay — any situation which could give rise to a conflict of interests.
- 8 – I/my organisation have NOT and will NOT, neither directly nor indirectly, grant, seek, obtain or accept any advantage in connection with this Call that would constitute an illegal practice or involve **corruption**.
- 9 – I/my organisation have **not received** any **other EU grant** for the same purpose and will give notice of any future EU grants related to this action AND of any EU operating grant(s)<sup>19</sup> given to my organisation.
- 10 – I/my organisation are aware that **false declarations** may lead to rejection, suspension, termination of the action.

SIGNATURE

For the applicant

[function/forename/surname]

[electronic signature]

Done in [English] on [electronic time stamp]

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<sup>19</sup> See Article 180 [EU Financial Regulation](#).

## **Part IV – Legal Entity**

Please download the relevant form from:

[https://ec.europa.eu/info/publications/legal-entities\\_en](https://ec.europa.eu/info/publications/legal-entities_en)